

Disc Math - §10 - Analysis of algorithms

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→ Idea: estimate the time efficiency in an algorithm we estimate the number of operations it performs.

Ex: Consider an algorithm which finds max value in an array:

ARRAY-MAX (s)

largest = s[1]

i = 2

while i ≤ s.last

if s[i] > largest

largest = s[i]

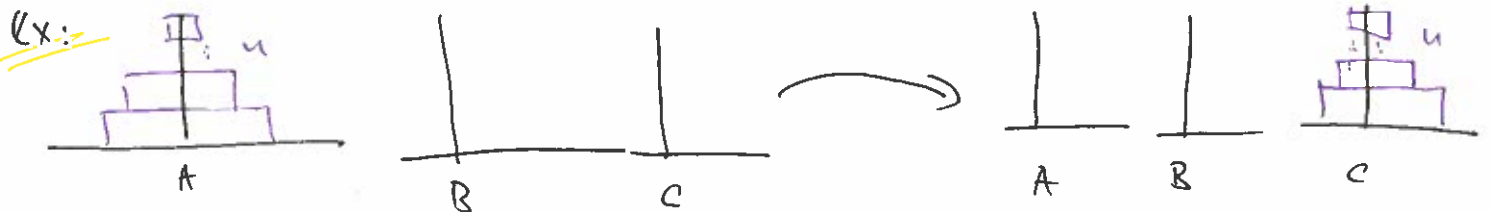
i = i + 1

return largest.

Let the input array be of size n. A reasonable definition of the execution time is the number of iterations of the while loop.

→ n-1. (We usually do not count steps which are simply "housekeeping" work as i=2 or i=i+1 above.)

preview: Analysing a recursive algorithm requires solving an recurrence equation relation.



$$C(1) = 1, C(u) = C(u-1) + 1 + C(u-1) = 2C(u-1) + 1.$$

$$C(u) = 2^{u-1} C(1) + \sum_{i=2}^u 2^{u-i} (1) = 2^{u-1} + \sum_{i=1}^u 2^{u-i} - 2^{u-1} =$$

$$= 2^u \frac{1/2 - 1/2^{u+1}}{1 - 1/2} = 2^u - 1 \leftarrow \text{This is an optimal algorithm.}$$

Ex: Binary search → find an item that is sorted in increasing order



search first $\lfloor n/2 \rfloor$ midpoint, if fail test for smaller or larger; say larger.



next search here etc.

Let $C(n)$ be the max number of comparisons needed to search a list of length n .

$$C(1) = 1, \quad C(n) = 1 + C\left(\frac{n}{2}\right), \quad n \geq 2, \quad n = 2^m$$

This is a divide-and-conquer recurrence relation; the solution is

$$C(n) = 1 + \log n.$$

Ex: Euclidean algorithm, find $\text{gcd}(a, b) = ?$, $a > b$.

$E(a)$ - number of divisions to compute $\text{gcd}(a, b)$ in the worst case

sol: Observe that if $i > j$ and i is divided by j with remainder r ,

then $r < \frac{1}{2}i$: There are two cases

1) $j \leq \frac{1}{2}i$, then $r < j \leq \frac{1}{2}i$

2) $j > \frac{1}{2}i$, then $i = 1*j + r$, $r = (i-j) < \frac{1}{2}i$

since in two steps the remainder becomes the dividend, successive dividends are at least halved every two steps. Thus

$$E(a) \leq 2 \log(a)$$

since a can be halved at most $\log(a)$ times.

Ex: $a = 1024$, $E(a) \leq 20$. (rem: The upper bound is rather loose.)

Asymptotic bounds.

Ex: $f(t) = 60t^2 + 5t + 1$. Then $n=100$, $f(100) = 600501$. But if

$p(n) = 60n^2$ then $p(100) = 600000$. So the lower order terms contribute relatively little.

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Ex: Let $t(u) = 60u^2 + 5u + 1$ be measured in seconds. Then

$\pi(u) = u^2 + \frac{u}{12} + \frac{1}{60}$ is measured in minutes.

rem: Thus if we measure the time needed to execute the algorithm on input of size u , we seek out the dominant term and ignore constant coefficients.

Ex: $t(u) = 60u^2 + 5u + 1$ is of order $u^2 \rightarrow t(u) = \Theta(u^2)$; $t(u)$ is big Theta of u^2 .

Def: Let f and g be nonnegative functions in \mathbb{N} . We write

$$f(u) = O(g(u))$$

and say that $f(u)$ is of order at most $g(u)$ if $\exists C_1 > 0, \exists N_1$ st.

$$f(u) \leq C_1 g(u) \quad \forall u \geq N_1.$$

We write

$$f(u) = \Omega(g(u))$$

and say that $f(u)$ is of order at least $g(u)$ if $\exists C_2 > 0, \exists N_2$ st.

$$f(u) \geq C_2 g(u) \quad \forall u \geq N_2.$$

We write

$$f(u) = \Theta(g(u))$$

and say that $f(u)$ is of order $g(u)$ if $f(u) = O(g(u))$ and $f(u) = \Omega(g(u))$.

rem: $f(u) = O(g(u))$ if except for a constant factor and a finite number of exceptions, f is bounded above by g . We also say that g is an asymptotic upper bound for f .

Similarly if $f(u) = \Omega(g(u))$, g is an asymptotic lower bound for f . Also if $f(u) = \Theta(g(u))$, f is bounded above and below in g . We also say that g is an asymptotic tight bound for f .

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rem: If $f = O(g)$ and $f \neq \Theta(g)$ then $f = o(g)$ ("small oh").

ex: $n = o(n^2)$, $\log n = o(n)$, $n = O(2^n)$ in fact $n = o(2^n)$.
 $\log_a(n) = \Theta(\log_b n)$.

Th: Let $p(u) = a_k u^k + a_{k-1} u^{k-1} + \dots + a_1 u + a_0$, $a_k \neq 0$. Then

$$p(u) = \Theta(u^k). \quad \square$$

More ex: i) $1 + 2 + \dots + u = \Theta(u^2)$

ii) $1^k + 2^k + \dots + u^k = \Theta(u^{k+1})$

iii) $\frac{1}{1} + \frac{1}{2} + \dots + \frac{1}{u} = \sum_{i=1}^u \frac{1}{i} = \Theta(\log u)$.

rem: There is an arithmetic of equivalence classes $\Theta(f)$, e.g.
 $\Theta(x) + \Theta(x^2) = \Theta(x^2)$, $\Theta(x^2) + \Theta(x^2) = \Theta(x^2)$ etc.

Def: If an algorithm requires $t(u)$ units of time to terminate in the worst case for an input of size n and

$$t(u) = O(g(u))$$

we say that the **worst-case time required by the algorithm is $O(g(u))$** . If $t(u) = \Theta(g(u))$ then we say that the **worst-case time required by the algorithm is $\Theta(g(u))$** or that the **computational complexity of the algorithm of order $\Theta(g(u))$** .

→ best-case time

→ average-case time.

ex: Tower of Hanoi is $\Theta(2^n)$ (best, worst, average).

ex: Euclidean algorithm is $O(\log n)$. (worst-case).

ex: Gaussian elimination is $O(n^3)$ (worst-case).

ex:

Algor.	$n = 100$
$\Theta(n)$	0.01 s
$\Theta(n^2)$	1 s
$\Theta(n^3)$	4 x 10 ¹⁶ operations

Say executing a step takes 0.0001 s.
 // intractable

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rem: The solution for a divide-and-conquer recurrence relation

$$S(n) = cS\left(\frac{n}{2}\right) + g(n), \quad n \geq 2, \quad n = 2^m$$

$$S(n) = c \log_n S(1) + \sum_{i=1}^{\log_2 n} c^{(\log_2 n) - i} g(2^i).$$

More generally we can consider splitting into b subproblems:

$$S(n) = a S\left(\frac{n}{b}\right) + g(n), \quad n \geq 2, \quad n = b^m$$

Th: Master Theorem. Consider the recurrence relation

$$S(1) \geq 0; \quad S(n) = a S\left(\frac{n}{b}\right) + n^c, \quad n \geq 2$$

where $n = b^m$; $a, b \in \mathbb{Z}$, $a \geq 1$, $b > 1$; $c \in \mathbb{R}^+$. Then

1) $a < b^c$ $S(n) = \Theta(n^c)$

2) $a = b^c$ $S(n) = \Theta(n^c \log n)$

3) $a > b^c$ $S(n) = \Theta(n^{\log_b a})$. Pr: Book. \square

Ex: $S(n) = 4S\left(\frac{n}{5}\right) + n^3, \quad n \geq 2, \quad n = 5^m$

$a = 4, b = 5, c = 3$; $4 < 5^3 \rightarrow$ Case 1 $\rightarrow S(n) = \Theta(n^3)$. \square

Order of magnitude of Algorithms

$\Theta(1)$	$\Theta(\log \log n)$	$\Theta(\log n)$	$\Theta(n^c), 0 < c < 1$	$\Theta(n)$	$\Theta(n^2)$
constant	Log Log	Log	sublinear	Linear	Quadratic
$\Theta(n^k), k \geq 1$	$\Theta(c^n)$	$\Theta(n!)$			
polynomial	exponential	factorial			

rem: A problem that has a worst-case polynomial-time algorithm is **feasible (tractable)**; does not have PTime-intractable.

Problems could be **unsolvable** \rightarrow Decision problem for predicate logic; Halting problem: given an arbitrary program and set of inputs, will the algorithm eventually halt?

def: A problem is **NP** if it can be solved in **undeterministic polynomial time**.

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Here are examples of NP (complete) problems: graph coloring, Hamiltonian cycle, Travelling salesman problem.

rem: $P \subseteq NP \subseteq EXP$. In fact $NP \subsetneq EXP$, i.e. $NP \neq EXP$.

Th: If any NP-complete problem is in P, then $NP = P$. \square

Stephen Cook: "P=NP would transform mathematics by allowing a computer to find a formal proof of any theorem which has a proof of reasonable length, since formal proofs can be easily recognized in polynomial time. Example problems may well include all of the CMI prize problems."

HW § 3.3 p 212

7, 8, 13, 14

HW § 5.5 p 421

5, 6, 9, 17, 19, 21, 25